

EXPRESS MAIL CERTIFICATE

I hereby certify that the below paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on **October 30, 2001**. Express Mail No.: EL744462575US. Signature of Person mailing paper/fee:

Joseph A. Sawyer, Jr.
Joseph A. Sawyer, Jr.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: October 30, 2001

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Serial No.: to be assigned

Group Art Unit: to be assigned

Filed: herewith

Examiner: to be assigned

For: **METHOD OF CARDINALITY ESTIMATION USING STATISSTICAL
SOFT CONSTRAINTS**

Assistant Commissioner of Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

- ☒ With the application; accordingly, no fee or separate requirements are required.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits before mailing of a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of \$_____ is enclosed.
- ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
- ☐ A Certification under 37 C.F.R. §1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the

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issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, and a Certification under 37 C.F.R. §1.97(e) is provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,



Joseph A. Sawyer, Jr.
Attorney for Applicant
Reg. No. 30,801
(650) 493-4540